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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,755	04/19/2001	Markus Lotz	440465

CONFIRMATION NO. 9948

23548  
LEYDIG VOIT & MAYER, LTD  
700 THIRTEENTH ST. NW  
SUITE 300  
WASHINGTON, DC 20005-3960

FORMALITIES LETTER



\*OC000000006077915\*

Date Mailed: 05/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily

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01 FC:105  
02 FC:101  
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740.00 OP

legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 4,5,7 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/27/2011 10:44:44 AM



#5 SECTOR \$V

PATENT  
Attorney Docket No. 440465

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LOTZ ET AL.

Art Unit: UNASSIGNED

Application No. 09/837,755

Examiner: UNASSIGNED

Filed: April 19, 2001

For: MASHING PROCESS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated May 15, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
  - i. ☐ Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1) ☐ CD-ROM or CD-R (2 copies); or
    - (2) ☐ Paper Copy
  - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☒ Copy of the Notice to File Missing Parts of Application.
- e. ☒ Substitute Specification and replacement pages of Drawings. The replacement pages contain no new matter.
- f. ☒ Other: Abstract

**3. Fees**

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	14    -20=		x \$18.00	\$
Independent Claims	3        - 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable	+\$280.00			\$
Total of above calculations =				\$ 740.00
Reduction by 50% for filing by small entity =				\$(        )
Fee Due =				\$740.00

**Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

**Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

**Extension of Time**

☒ Applicants petition for a four-month extension of time under 37 CFR 1.136, the fee for which is \$1,440.00.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$1,440.00

**4. Total Fee Due**

The total fee due is:

Application Filing Fee	\$740.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$1,440.00
<b>Total Fee Due:</b>	<b>\$2,310.00</b>

In re Appln. of LOTZ ET AL.  
Application No. 09/837,755

**5. Fee Payment**

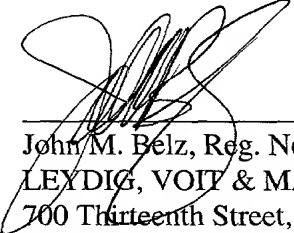


Attached is a check in the sum of \$2,310.00.  
Charge Account No. 12-1216 the sum of \$ . A duplicate of this  
transmittal is attached.

**6. Fee Deficiency**



If any additional fee is required in connection with this communication, charge  
Account No. 12-1216. A duplicate of this transmittal is attached.



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Date: November 14, 2001

Missing Parts Response (Revised 10/18/01)